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Name:

Reg. No.....

FIFTH SEMESTER B.Com. PROFESSIONAL DEGREE EXAMINATION, NOVEMBER 2021

(CUCBCSS-UG)

(Regular/Supplementary/Improvement)

CC17U BCP5 B22 - FINANCIAL MARKETS AND SERVICES

(B.Com. Professional - Core Course)

(2017 Admission onwards)

Time: Three Hours

Maximum: 80 Marks

Part A

Answer *all* questions. Each question carries 1 mark.

I. Choose the correct answer.

1. ----- bonds are sold at a large discount to their nominal value.
(a) Zero coupon (b) Floating rate (c) Deep discount (d) Option
2. CDs are ----- instruments issued by banks and financial institutions to raise large amount of money.
(a) Long term (b) Medium term (c) Short term (d) None of these
3. Zero interest bonds are sold at -----
(a) Premium (b) Par (c) Discount (d) None of these
4. Under factoring, the factor acts in the capacity of -----
(a) An Agent (b) A Trustee
(c) A Holder for value (d) An Administrator
5. Which of the following leads to decrease in share capital?
(a) IPO (b) Underwriting (c) Listing (d) Buy back of shares

II. Fill in the blanks:

6. ----- lease is for a limited period.
7. Selling of securities without owning them is called -----
8. ADR stands for -----
9. ----- is the link between the depository and the owner of securities
10. Financial institutions are also termed as -----

(10 × 1 = 10 Marks)

Part B (Short Answer Questions)

Answer any *eight* questions. Each question carries 2 marks.

11. What is a Financial System?
12. Write any two functions of Financial Market.

13. What are the Components of Money Market?
14. What is meant by Venture Capital?
15. What is a Capital Market?
16. What is Book Building?
17. State any three functions of Money Market.
18. Write any three objectives of NSE.
19. Define Commercial Bank
20. What is Mutual Fund?

(8 × 2 = 16 Marks)

Part C (Short Essay Questions)

Answer any *six* questions. Each question carries 4 marks.

21. Discuss various agency and general utility functions of a commercial bank.
22. Define Factoring. What are the different types of factoring?
23. Write a short note on the the functions of RBI?
24. What do you mean by a Stock Exchange? Give its characteristics.
25. Discuss the various methods of trading in stock exchanges.
26. State the functions of merchant bank.
27. Discuss the recent developments that have taken place in Indian money market.
28. Explain various kinds of financial services.

(6 × 4 = 24 Marks)

Part D (Essay Questions)

Answer any *two* questions. Each question carries 15 marks.

29. "A well developed financial system contributes significantly in the economic development." Explain.
30. Explain various functions of stock exchanges in India
31. Financial markets and financial institutions play an important role in financial system. Do you agree? Explain.

(2 × 15 = 30 Marks)
