19U540	(Pages: 2)	Name:
		Reg.No:

FIFTH SEMESTER B.Com. DEGREE EXAMINATION, NOVEMBER 2021

(CBCSS - UG)

CC19U BCM5 B10 - FINANCIAL MARKETS AND SERVICES

(Commerce - Core Course)

(2019 Admission - Regular)

Time: 2.5 Hours Maximum: 80 Marks

Credit: 4

Part A (Short answer questions)

Answer *all* questions. Each question carries 2 marks.

- 1. What are Credit Rating Agencies(CRAs)? Give 2 examples.
- 2. What is stock asset and debt asset?
- 3. Define Inflation. What is the impact of Inflation?
- 4. What are the main advantages of commercial papers?
- 5. Define Liquidity Adjustment Facility (LAF).
- 6. Define the term merchant banker.
- 7. What is a grey market?
- 8. List out any four functions of a stock exchange.
- 9. What is a PAN card?
- 10. What is the dematerialization of shares?
- 11. What is BSE Sensex?
- 12. Define venture capital.
- 13. What is a fixed deposit?

- 14. What is deposit insurance?
- 15. What is a sales aid lease?

(Ceiling: 25 Marks)

Part B (Paragraph questions)

Answer all questions. Each question carries 5 marks.

- 16. Define financial system. Explain the significance of financial system.
- 17. Define financial services. What are its characteristics? Explain.
- 18. What are the differences between money market and capital market?
- 19. Why do the presence of central Bank is important in a developed money market?
- 20. Explain the role of the primary market in the economy.
- 21. Write down the services covered by factoring.
- 22. Elaborate on the functions of the Reserve Bank of India.
- 23. Write a note on SEBI guidelines for the secondary market.

(Ceiling: 35 Marks)

Part C (Essay questions)

Answer any *two* questions. Each question carries 10 marks.

- 24. Define Financial Instruments. Explain various kinds of financial instruments.
- 25. Define new issue market. Also, explain the methods of floating new issues in detail.
- 26. Define mutual funds. Write a note on different types of mutual funds.
- 27. Write a note on (a) Objectives of SEBI (b) Functions of SEBI (c) Powers of SEBI

 $(2 \times 10 = 20 \text{ Marks})$
