



COURSE CODE

NAME OF THE COURSE

CPCC35

FUNDAMENTALS OF CAPITAL MARKET

OFFERED BY

ABOUT COLLEGE

COMMERCE

COURSE COORDINATOR

Chirst College (Autonomous), Irinjalakuda established in the year 1956 by CMI fathers has always been a place where young generations are moulded towards a bright future. College has excellent infrastructure, with state of the art laboratories, seminar rooms and lecture halls. The campus is Wi-Fi enabled. Presently Collge is house for 4500+ students, 200 teaching staff and 45 supporting staff. The strength of the College lies in its hardworking and tech savvy teachers who are eager to involve in all matters of students. The lush green campus with gardens and open gym is moving towards the next phase on education both offline and online.

Prof. Tom Jacob

LEARNING OUTCOMES

C01:To get a basic understanding of the products, players and functioning of financial markets, particularly the capital market.

CO2: To know about the roles of different players viz., custodians, asset management companies, sponsor etc. in the mutual fund industry.

CO3: To have a comprehensive and broad based knowledge about the securities markets and to get acquainted with various securities markets products, rules and regulations.

COURSE MODULE

Module I

Markets and Financial Instruments Types of Markets: Equity Debt, Derivatives Commodities; Meaning and features of private, public companies; Types of investment avenues. (10 hours).

Module 2

Concept and structure of mutual funds in India; Role of custodian; Registrar and transfer agent AMC; New fund offer's & procedure for investing in NFO; Investors rights and obligations. Concept of open ended and close ended fund; Types of funds - equity, index, diversified large cap funds, midcap fund, sec or fund and other equity schemes; Concept of entry and exit load Expense ratio; Portfolio turnover; AUM; Analysis of cash level in portfolio. (10 hours).

Module 3

Definition and origin of derivatives; Definitions of forwards; futures; options; Moneyless of an option; Participants in the derivatives market and uses of derivatives. Derivatives trading and settlement on NSE; using daily newspapers to track futures and options; Accounting and taxation of derivatives.(5 Hours)

Module 4

Primary issuance process; Participants in Government bond markets; Constituent SGL accounts; Concept of Primary dealers, Satellite dealers; Secondary markets for Government bonds; Settlement of trades in G-Secs; Clearing corporation; Negotiated Dealing System; Liquidity Adjustment Facility (LAF).(5 Hours)

REFERENCES

- S. Kevin: Security Analysis and Portfolio Management.
- Sourain. Harry; Investment Management, Prentice Hall of India.
- Francis and Archer: Portfolio Management, Prentice Hall of India.
- Gupta L.C.: Stock Exchange Trading in India, Society for Capital Market Research and Development, Delhi.
- MachiRaju, H.R.: Working of Stock Exchanges in India, Wiley Eastern Ltd, New Delhi.

