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Name:

Reg. No:

FIFTH SEMESTER B.Com. PROFESSIONAL DEGREE EXAMINATION, NOV. 2024

(CUCBCSS-UG)

(Regular/Supplementary/Improvement)

CC17U BCP5 B22 – FINANCIAL MARKETS AND SERVICES

(Core Course)

(2017 Admission onwards)

Time: Three Hours

Maximum: 80 Marks

Part A

Answer *all* questions. Each question carries 1 mark.

I. Choose the correct answer:

1. Document which represents financial claim on assets
 - a) Certificate of deposit
 - b) Commercial paper
 - c) Financial instruments
 - d) Commercial bill
2. OTCEI stands for:
 - a) Over The Centre Exchange Institution
 - b) Over The Counter Exchange of India
 - c) Over The Center Exchange Institute
 - d) Over The Centralized Exchange of India
3. Institutional arrangement for dealing in financial asset and credit instruments
 - a) Financial market
 - b) Banking institutions
 - c) Investment institution
 - d) Financial intermediaries
4. Arrangement that provides a firm with the use and control over assets without buying and owning the same
 - a) Factoring
 - b) Leasing
 - c) Hire purchase
 - d) Forfeiting
5. Group of shares that are used to give an indication of a sector exchange or economy
 - a) Financial instruments
 - b) Stock index
 - c) Stock market
 - d) Financial asset

II. Fill in the blanks:

6. Activities, benefits, and satisfactions, connected with the sale of money, that offer to users and customers, financial related value is called
7. is a method of hedging risk.
8. Exchange of cashflows is called
9. Process of converting shares from physical form to electronic form is called
10. is a market for dealing with short term securities.

(10 × 1 = 10 Marks)

PART -B (Short Answer Questions)

Answer any *eight* questions. Each question carries 2 marks.

11. What do you mean by financial system?
12. Explain the difference between new issue and stock exchange.
13. What is Qualified Institutional placement?
14. What is closed -ended Scheme?
15. Explain venture capital.
16. Briefly explain about the intermediaries in the new issue market.
17. Explain interest rate swap.
18. What is capital market?
19. What is certificate of deposit?
20. What is depository?

(8 × 2 = 16 Marks)

PART -C (Short Essay Questions)

Answer any *six* questions. Each question carries 4 marks.

21. Explain the functions of merchant banking.
22. Explain the features of Indian money market.
23. Which are the methods of floating new issue?
24. What are the functions of stock exchange?
25. Differentiate factoring and forfaiting.
26. Explain the role of RBI in framing the monetary policy and control measures.
27. Write a short note on stock exchanges in India.
28. Explain money market instruments.

(6 × 4 = 24 Marks)

PART-D (Essay Questions)

Answer any *two* questions. Each question carries 15 marks.

29. Explain SEBI and its powers and functions.
30. What are derivatives? Explain the types of derivatives in detail.
31. Explain the role of financial system in the economic development of the country.

(2 × 15 = 30 Marks)
