

**23U554**

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Name: .....

Reg. No: .....

**FIFTH SEMESTER B.Com. PROFESSIONAL DEGREE EXAMINATION, NOV 2025**

(CUCBCSS-UG)

(Regular/Supplementary/Improvement)

**CC17UBCP5B22 – FINANCIAL MARKETS AND SERVICES**

(Core Course)

(2017 Admission onwards)

Time: Three Hours

Maximum: 80 Marks

**Part A**

Answer *all* questions. Each question carries 1 mark.

Choose the correct answer:

1. Which among the following is a **DFI** in India?  
a) SEBI                      c) NABARD                      b) IRDA                      d) AMFI
2. How many securities constitute the **Nifty Index**?  
a) 100                      c) 50                      b) 25                      d) 30
3. Which among the following is an example of an NBFC?  
a) ICICI                      c) ESAF  
b) Muthoot Finance Limited                      d) PNB
4. Which among the following is the **WRONG** combination?  
a) Sensex – India                      b) Nikkei - China  
c) Dow Jones - USA                      c) Nasdaq - USA
5. Which among the following is **NOT** under the control of SEBI?  
a) Stock Exchanges                      b) Mutual Funds  
c) Insurance Companies                      d) Stock Brokers

Fill in the blanks:

6. NBFCs stand for .....
7. The money market deals with short-term funds, typically with maturity of less than .....
8. In order to bring down inflation and reduce money circulation, the Reverse Repo rate shall be .....
9. The financial service where a business sells its accounts receivables to a third party at a discount is known as .....
10. .... is the regulator of Insurance business in India.

**(10 × 1 = 10 Marks)**

**Part B (Short Answer Questions)**

Answer any *eight* questions. Each question carries 2 marks.

11. Define a Bank.
12. What are Mutual Funds?
13. What is 'Call Money'?
14. What is an FPO?
15. What are Derivatives?
16. What do you mean by a Stock Index?
17. What is Leasing?
18. What is UPI?
19. What is Monetary Policy?
20. What is a Prospectus?

**(8 × 2 = 16 Marks)**

**Part C (Short Essay Questions)**

Answer any *six* questions. Each question carries 4 marks.

21. What is Merchant Banking? Discuss the functions of a Merchant Bank.
23. Discuss the relevance of money market instruments for short-term financing with examples.
24. Explain the importance of the capital market in economic development.
25. Do you think DFIs are important for national development? Justify your answer.
26. Explain the different functions of SEBI.
27. Assess the impact of demutualization on the functioning of stock exchanges in India.
28. Critically examine the weaknesses of the Indian financial system
29. Discuss the various classifications of mutual fund schemes.

**(6 × 4 = 24 Marks)**

**Part D (Essay Questions)**

Answer any *two* questions. Each question carries 15 marks.

30. Illustrate and explain the various components of a Financial System, using relevant examples from the Indian context.
31. Evaluate the various methods available for a new company to raise capital from the primary market. Which method would you recommend for a tech start-up, and why?
32. Do you think that money market needs to be regulated? Justify your answer by explaining the regulatory framework, tools and measures of RBI and their relevance.

**(2 × 15 = 30 Marks)**

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