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Name: .....

Reg. No.....

FIFTH SEMESTER B.Com. PROFESSIONAL DEGREE EXAMINATION, NOVEMBER 2019

(CUCBCSS-UG)

CC17U BCP5 B04 - FINANCIAL MARKETS AND SERVICES

B.Com. Professional - Core Course

(2017 Admission Regular)

Time: Three Hours

Maximum: 80 Marks

**Part A**

Answer *all* questions. Each question carries 1 mark.

I. Choose the correct answer:

1. BSE was established in  
(a) 1875                      (b) 1990                      (c) 1992                      (d) 2000
2. The market which helps commercial banks to maintain the SLR requirements is  
(a) Call loan market                      (b) Discount market  
(c) Acceptance market                      (d) Commercial bill market
3. The Investor Education and Protection Fund has been set up by  
(a) NSE                      (b) SEBI  
(c) BSE                      (d) Central Government
4. Option is a  
(a) Current Asset      (b) Fixed Asset      (c) Liability      (d) Derivatives
5. Which among the following is not a function of merchant bankers?  
(a) Project study                      (c) Collection of book debts  
(b) Portfolio Management                      (d) Issue management

II. Fill in the blanks:

6. ----- is a process of creating an artificial condition in the market to enhance the price of certain commodities.
7. MMMFs stands for -----
8. In ----- factoring the risk of bad debts is borne by the client.
9. Call option is the right to -----
10. In India forfaiting is done by ----- bank.

**(10 x 1 = 10 Marks)**

**Part B** (Short Answer Questions)

Answer any *eight* questions. Each question carries 2 marks.

11. What are NBFCs?
12. What is meant by forfeiting?
13. What do you mean by Repo?
14. What is commercial paper?
15. What is operating leasing?
16. What is warrant?
17. What is meant by acceptance market?
18. What is origination?
19. What is meant by contango charge?
20. What is meant by bonus share?

**(8 x 2 = 16 Marks)**

**Part C** (Short Essay Questions)

Answer any *six* questions. Each question carries 4 marks.

21. What are the features of venture capital?
22. What are the advantages of factoring services?
23. Explain briefly different types of mutual funds.
24. What are the functions of RBI?
25. Differentiate between fund based services and fee based services.
26. State the recent trends in money market.
27. What are the merits of on-line trading?
28. What are the functions of insurance?

**(6 x 4 = 24 Marks)**

**Part D** (Essay Questions)

Answer any *two* questions. Each question carries 15 marks.

29. Explain the role and functions of merchant bankers in the new issue of securities by companies.
30. What do you mean by listing of securities? Explain different functionaries of stock exchange.
31. What is money market? What are the instruments used in money market?

**(2 x 15 = 30 Marks)**

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