

**19U546S**

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Name: .....

Reg. No: .....

**FIFTH SEMESTER B.B.A. DEGREE EXAMINATION, NOVEMBER 2021**

(CUCBCSS- UG)

**CC16U BB5 B11 - INVESTMENT MANAGEMENT**

(BBA - Core Course)

(2015 to 2018 Admissions – Supplementary/Improvement)

Time: Three Hours

Maximum: 80 Marks

**PART A**

Answer *all* questions. Each question carries 1 mark.

Choosing the correct answer:

1. Which of the following an investor expects from investing in securities?  
a. Market risk            b. Normal return            c. Capital gain            d. All of the above
2. Modern portfolio theory was introduced by  
a. Adam Smith            b. Markowitz            c. Charles Dow            d. None of these
3. Stock mutual funds also called.  
a. Open end funds            b. close end funds            c. Growth funds            d. Equity fund
4. The holder of the option purchases the right from the seller for a consideration called;  
a. Remuneration            b. Premium            c. Discount            d. None of these
5. Which of the following shares issued to company employees recognising their contribution?  
a. Sweat equity            b. Right shares            c. Bonus shares            d. None of these

Fill in the blanks

6. ----- is the place where securities are bought and sold.
7. ----- is a financial contract whose value is derived from the value of an underlying asset.
8. ----- risk is considered diversifiable risk.
9. Market value of mutual fund is called -----
10. The hollow or filled portion of the candlestick is called -----

**(10 × 1 = 10 Marks)**

**PART B**

Answer any *eight* questions. Each question carries 2 marks.

11. What is candle stick chart?
12. What is systematic risk?
13. Distinguish between call option and put option.

14. What is Exchange traded funds?
15. What is arbitrage?
16. What do you mean by guilt-edged market?
17. What is efficient portfolio?
18. What is dematerialisation?
19. What is technical analysis?
20. What is deep discount bond?

**(8 × 2 = 16 Marks)**

### **PART C**

Answer any *six* questions. Each question carries 4 marks

21. What are the advantages of mutual funds?
22. Discuss the investment decision process.
23. Discuss the assumptions of technical analysis.
24. Explain different types charts used for stock analysis.
25. What are the features of ETF?
26. Explain the functions of capital market.
27. State the differences between futures and forward contracts.
28. Write a short note on SEBI.

**(6 × 4 = 24 Marks)**

### **PART D**

Answer any *two* questions. Each question carries 15 marks.

29. What do you mean by Fundamental analysis? Discuss the various steps involved in fundamental analysis.
30. Define mutual fund. Explain different categories of mutual fund.
31. Define investment. Discuss its characteristics and objectives.

**(2 × 15 = 30 Marks)**

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