

20U553

(Pages: 2)

Name:

Reg. No.....

FIFTH SEMESTER B.Com. PROFESSIONAL DEGREE EXAMINATION, NOV. 2022

(CUCBCSS-UG)

(Regular/Supplementary/Improvement)

CC17U BCP5 B22 - FINANCIAL MARKETS AND SERVICES

(Core Course)

(2017 Admission onwards)

Time: Three Hours

Maximum: 80 Marks

Part A

Answer *all* questions. Each Question carries 1 mark

I. Choose the correct answer.

1. refer to the institutional arrangements for dealing in financial assets and credit instruments of different types.
(a) Financial institutions (b) Financial market
(c) Capital market (d) Money market
2. SEBI act was passed in the year
(a) 1988 (b)1991 (c) 1990 (d) 1992
3. Call money market refer to the market for very
(a) Short period (b)Very Short period (c) Long period (d) Secular period
4. method of marketing securities is generally adopted in case of large issue of shares by companies.
(a) Placement method (b) Public issue (c) Offer for sale (d) Tender method
5. Option is
(a) Current Asset (b) Liability (c) Fixed Asset (d) Derivative

II. Fill in the blanks:

6. Call option is right to
7. are the contracts that are traded outside the exchanges.
8. LEAPS stands for
9. option gives a purchaser the right but not the obligation to sell the underlying asset to the writer at specified price.
10. Nifty is an index of shares.

(10 × 1 = 10 Marks)

Part B (Short Answer Questions)

Answer any *eight* questions. Each question carries 2 marks.

11. Define commercial bank.
12. What is the purpose of SEBI Act?
13. Who are jobbers?
14. What are NBFC's?
15. What do you mean by forward contract?
16. Define venture capital
17. What is credit rating?
18. What is factoring?
19. What do you mean by financial services?
20. What are commercial Bills?

(8 × 2 = 16 Marks)

Part C (Short Essay Questions)

Answer any *six* questions. Each question carries 4 marks.

21. Explain the needs of derivatives?
22. Write a short note on money market instruments
23. What are the objectives of listing of securities?
24. Write a brief note on electronic trading in stock exchange
25. What are the features of venture capital?
26. Differentiate between forward and future contract.
27. What are essential elements of lease financing?
28. Write a note on different types of factoring

(6 × 4 = 24 Marks)

Part D (Essay Questions)

Answer any *two* questions. Each question carries 15 marks.

29. Explain the various intermediaries and their role in the new issue market.
30. "Financial markets and financial institutions play an important role in financial system". Explain
31. Define insurance. State the objectives and functions of LIC?

(2 × 15 = 30 Marks)
