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(Pages: 2)

Name:

Reg. No:

FIFTH SEMESTER B.Com. PROFESSIONAL DEGREE EXAMINATION, NOV. 2023

(CUCBCSS-UG)

(Regular/Supplementary/Improvement)

CC17U BCP5 B22 – FINANCIAL MARKETS AND SERVICES

(Core Course)

(2017 Admission onwards)

Time: Three Hours

Maximum: 80 Marks

Part 1

Answer *all* questions. Each question carries 1 mark.

Choose the correct answer:

1. Which among the following is the right action to be taken to bring down inflation?
a) Buying back Govt. Securities b) Decreasing SLR
c) Increasing REPO d) Decreasing REPO
2. How many securities constitute the SENSEX Index?
a) 100 b) 25 c) 50 d) 30
3. What does MCX stands for?
a) Multi Commodity Exchange b) Materials &Commodity Exchange
c) Major Commodity Exchange d) Marginal Capital Exchange
4. Which is the first Mutual Fund in India?
a) UTI b) SBI c) ICICI d) LIC
5. Which among the following is NOT an Indian Bank?
a) HDFC b) HSBC c) AXIS d) YES Bank

Fill in the blanks:

6. is a market for short-term funds with maturities ranging from overnight to one year
7. is referred to as a unit of ownership which represents an equal proportion of a company's capital.
8. is an invitation to existing shareholders to purchase additional new shares in the company
9. Securities and Exchange Board of India was established in
10. is the regulator of Money Market in India.

(10 × 1 = 10 Marks)

Part B (Short Answer Questions)

Answer any *eight* questions. Each question carries 2 marks.

11. What is Inflation?
12. What are Mutual Funds?
13. What are NBFCs?
14. What is an FPO in the Primary Market?
15. What do you mean by Dematerialization?
16. Mention any two importance of Capital Market.
17. What do you mean by Factoring?
18. Who are Gilt Edged Securities?
19. Who is a Merchant Banker?
20. What do you mean by 'Listing'?

(8 × 2 = 16 Marks)

Part C (Short Essay Questions)

Answer any *six* questions. Each question carries 4 marks.

21. Explain the functions of Merchant Bankers and their role in the new issue market.
22. Define Lease financing and briefly explain the different types of leases.
23. Analyze the weaknesses of Indian Financial System.
24. Differentiate Primary and Secondary markets.
25. What do you mean by a stock exchange? Briefly explain its functions.
26. What is a Derivative Instrument? Briefly explain the types of Derivatives.
27. In the Indian banking context, what do you mean by a DFI? What are the major functions of DFIs?
28. Briefly explain the functions of SEBI.

(6 × 4 = 24 Marks)

Part D (Essay Questions)

Answer any *two* questions. Each question carries 15 marks.

29. What is Money Market? Critically evaluate the role of Money Market in an Economy by explaining different types of Money Market instruments.
30. Explain the different methods through which a new Company can raise Capital from the Primary Market.
31. Do you think Monetary Policy in India is effective in controlling money supply? Discuss your views by narrating different monetary policy measures by the RBI.

(2 × 15 = 30 Marks)
