

B Com TAXATION

Financial Mastery - Minor Program

The Financial Mastery minor program is tailored to empower students with the necessary knowledge and skills to excel in the swiftly evolving convergence of finance and technology. It offers a holistic grasp of financial markets, essential investment principles, personal financial planning, contemporary banking practices, life insurance fundamentals, and the transformative impact of FINTECH. In today's digital age, the financial industry is experiencing a profound transformation propelled by technological innovation. This program's significance lies in its ability to cater to students from diverse academic backgrounds, including commerce and other allied disciplines, preparing them for careers not only in finance but also in technology or entrepreneurship. Regardless of their career path, students gain valuable insights and practical skills that are relevant across diverse industries. Moreover, this minor program serves as an excellent foundation for those intending to pursue advanced studies in finance or related fields like financial technology, business analytics, or entrepreneurship.

MINOR COURSE GROUPING: (C) FINANCIAL MASTERY

GROUP 5. FINANCIAL STUDIES

Course Code	COM1MN105				
Course Title	BASICS OF FINANCIAL MARKETS				
Type of Course	Minor				
Semester	I				
Academic Level	100-199				
Course Details	Credit	Lecture per week	Tutorial per week	Practical per week	Total Hours
	4	3	-	2	75
Pre-requisites	Some familiarity with accounting principles and financial statements can be beneficial.				
Course Summary	Introduction to Financial Markets is a foundational course designed to provide students with a comprehensive understanding of the structure, function, and operation of financial markets.				

Course Outcomes (CO):

CO	CO Statement	Cognitive Level*	Knowledge Category#	Evaluation Tools used
CO1	Establishing a robust understanding of the concept and constituents of the financial system, while cultivating knowledge and comprehension of financial markets and their constituents	U	F, C	Examinations Assignment
CO2	Analytical and critical evaluation of the risk return characteristics of financial market instruments	A	P	Assignment Project Examination
CO3	Inculcate a positive attitude and appreciation towards stock market investment	A	P	Project Case analysis
* - Remember (R), Understand (U), Apply (Ap), Analyse (An), Evaluate (E), Create (C) # - Factual Knowledge(F) Conceptual Knowledge (C) Procedural Knowledge (P) Metacognitive Knowledge (M)				

Detmiled Syllabus:

Module	Unit	Content	Hrs
I	Indian Financial System		11
	1	Introduction to the Indian Financial System: Definition, scope, and structure-Importance in the economy	2

2	Features of the Indian Financial System-Functions of the Financial System	2
3	Elements of the Financial System: Institutions: Banks, Non-Banking Financial Companies (NBFCs), Insurance Companies, Mutual Funds, etc.- Instruments: Loans, Deposits, Securities, Derivatives, etc.	2
4	Overview of Regulators and Authorities: Ministry of Finance Securities and Exchange Board of India (SEBI) Reserve Bank of India (RBI) Insurance Regulatory and Development Authority (IRDAI) Telecom Regulatory Authority of India (TRAI) Pension Fund Regulatory and Development Authority (PFRDA) Functions, roles, and significance in regulating the financial sector	5

II	<u>Financial Market</u>	8
1	Types of Financial Markets: Money Market: Definition, significance, and characteristics-Capital Market: Definition, significance, and types (primary and secondary)	2
2	Money Market: Meaning, features, and importance-	2
3	Money market instruments in India: Treasury bills, Commercial Paper, Certificate of Deposit, etc.	2
4	Risk-Return analysis - Defects of Indian money market.	2

III	<u>Capital Market</u>	15
1	Types of Capital Market: Primary and Secondary-Primary Market: Functions, significance, and process of new securities issuance	2
2	Secondary Market: Functions, role in liquidity provision, and market efficiency	2
3	Intermediaries in the Primary Market: Investment bankers, Underwriters, Registrars, etc.	2
4	Methods of Floating New Issues: Public Issue: Initial Public Offering (IPO) and Follow-on Public Offering (FPO)-Private Placement	2
5	Additional Capital Market Offerings: Rights Issue, Bonus Issue, Private Placement,	3
6	Bonus issue, Private placement	2
7	Application Supported by Blocked Amount (ASBA)	2

IV	<u>Secondary Market</u>	11
1	Intermediaries in the Secondary Market: Brokers, Dealers, Depositories, etc.	2
2	Functions of Stock Exchanges: Overview of Bombay Stock Exchange (BSE) and National Stock	3

		Exchange (NSE)	
	3	Stock Indices: Explanation and significance of Sensex and Nifty as market indicators	2
	4	Listing of Securities: Advantages for issuers and investors	2
	5	Listing procedure and legal requirements	2
V	Open ended module for practical exercises		30
	1	<p>1. Market study on Present and upcoming IPOs, Sensex and Nifty, Major shares traded in Indian stock exchanges and price trends</p> <p>2. Conduct trading games (mock trading) or investment competitions where students manage virtual portfolios and make trading decisions based on market information and economic indicators.</p> <p>3. Present case studies of historical financial events or market phenomena and ask students to analyze the causes, impacts, and lessons learned</p> <p>4. Organize debates or discussions on controversial topics in finance.</p> <p>Assign group projects where students research and analyze specific financial markets, investment products, or regulatory issues</p>	

References :

1. Jeff Madura Financial Institutions And Markets.
2. Dr Paramjeet Kaur, Financial Markets and Institutions.
3. Bharati V. Pathak, The Indian Financial System: Markets, Institutions And Services
4. L M Bhole, Jitendra Mahakud Financial Institutions And Markets: Structure, Growth And Innovations.
5. Vinod Kumar and Atul Gupta and Manmeet Kaur Bawa, Financial Markets & Institutions.